
**Who We Are and What We Do:
A National Survey of State
Legislative Program Evaluation/
Performance Audit Programs**

INTRODUCTION

Legislative Program Evaluation and Performance Auditing holds government programs and agencies accountable to both the Legislature that created and funds them and the public that uses their services. These evaluations and audits do this by providing “. . . objective analysis, findings, and conclusions to assist management and those charged with governance and oversight with, among other things, improving program performance and operations, reducing costs, [and] facilitating decision making by parties responsible for overseeing or initiating corrective action” (GAGAS 1.21). NLPES has served as a clearinghouse for legislative audit and evaluation work since 1975. Consistent with NLPES’s mission, this report is intended to promote the exchange of ideas among offices and also identify new issues affecting legislative program evaluation and performance auditing.

This report provides descriptive information about the state-level offices that conduct this important work. The information contained in this report was provided in response to a survey distributed to state offices associated with the National Legislative Performance Evaluation Society on March 31, 2019. The last response was received on May 8, 2019. Forty-two offices responded to the survey, although not every office responded to every question. A copy of the survey is included as Appendix A and complete responses can be downloaded at _____.

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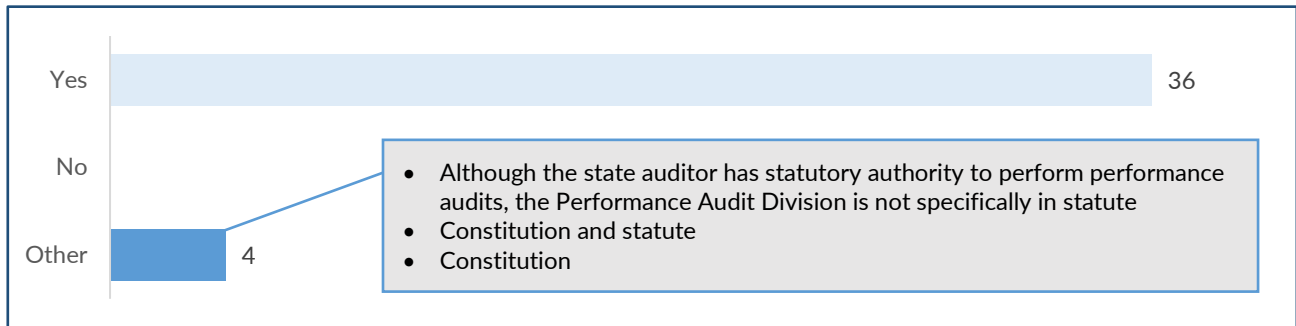
Appendix A: Survey

46

What year was your legislative performance audit/program evaluation office or unit created?

CONNECTICUT (2017)		
OHIO (2016)		
NORTH CAROLINA (2007)		
MAINE (2003)		
FLORIDA (1994)	IDAHO (1994)	
WEST VIRGINIA (1993)		
NEBRASKA (1992)		
LOUISIANA (1991)		
OREGON (1989)	NEW MEXICO (1991)	
NEW HAMPSHIRE (1987)		
DELEWARE (1980)		
IOWA (1979)		
ARIZONA (1978)	KENTUCKY (1978)	
TENNESSEE (1977)	TEXAS (1977)	
MINNESOTA (1975)	UTAH (1975)	SOUTH CAROLINA (1975)
DISTRICT OF COLUMBIA (1974)		
WASHINGTON (1973)	MISSISSIPPI (1973)	VIRGINIA (1973)
GEORGIA (1972)		
KANSAS (1971)	WYOMING (1971)	
COLORADO (1969)		
MARYLAND (1968)		
MONTANA (1967)		
WISCONSIN (1966)		
HAWAII (1965)	MICHIGAN (1965)	
PENNSYLVANIA (1959)		
WEST VIRGINIA (1957)		
CALIFORNIA (1956)		
WEST VIRGINIA (1954)		
NEW JERSEY (1934)		

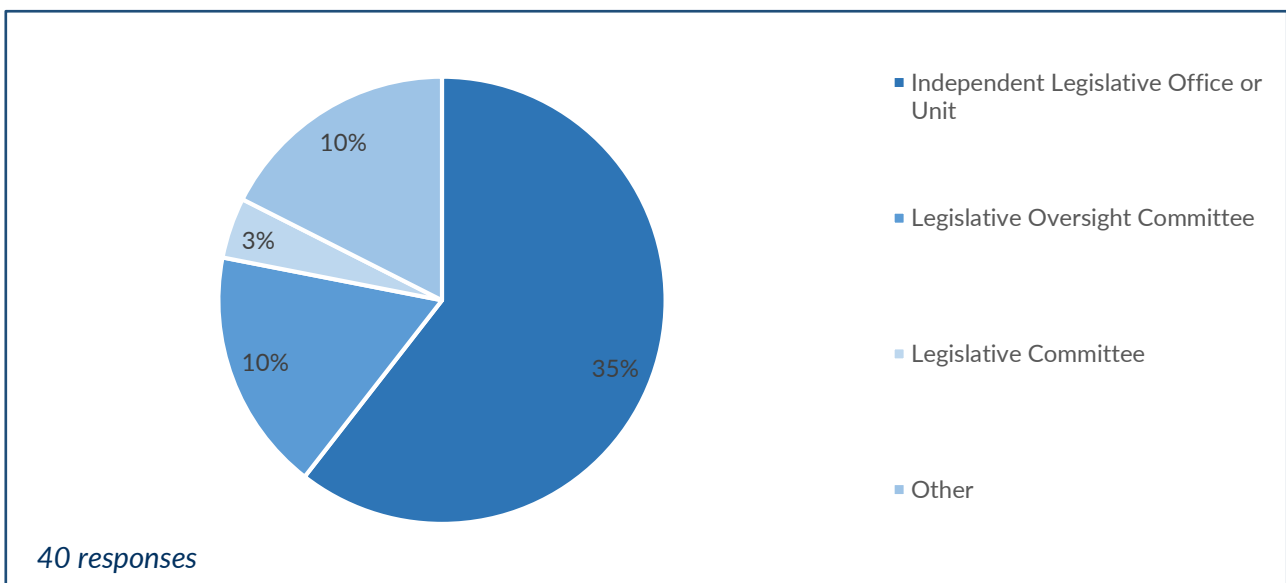
Is your office or unit's authority codified in statute?



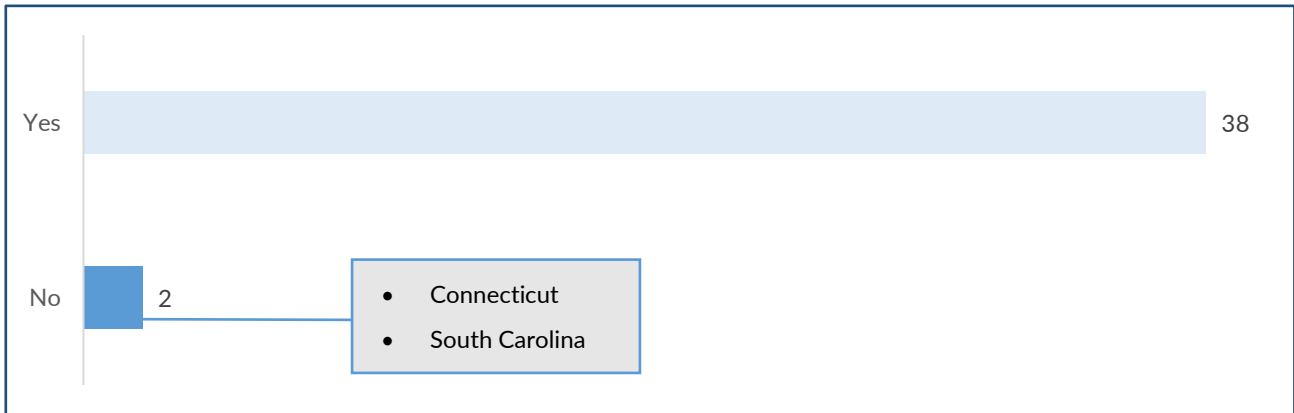
40 responses

Which best describes the organizational placement of your office or unit?

Legislative Auditor's office - Part of a legislative audit office that conducts both financial audits and performance audits/program evaluations.	16
Independent legislative office or unit - An independent legislative office or unit that conducts performance audits/program evaluations as its primary function.	15
Legislative oversight committee - Committee that spends most of its time conducting performance audits/program evaluations, but also performs other legislative staff work.	4
Legislative committee - Committee that conducts performance audits/program evaluations, but also spends over half of its time performing non-evaluation functions, such as fiscal/budget analysis, bill drafting, or analyzing substantive legislation.	1
Other:	4
<ul style="list-style-type: none"> • Non-partisan Legislative Services Agency, a central staff services agency that drafts bills, analyzes bill for fiscal impact, staff budget and ways and means committees, and provides computer services to the Legislature. • Legislative committee that directs priorities regarding audits, reviews audits, and can direct legislative staff to perform program evaluations and reviews. • Any member of the Legislature can request an audit through a request to the Joint Legislative Audit Committee, which conducts both financial and performance audits/program evaluations. • Performance Audit Committee that's only role is conducting performance audits in conjunction with the Legislative Audit Office. 	

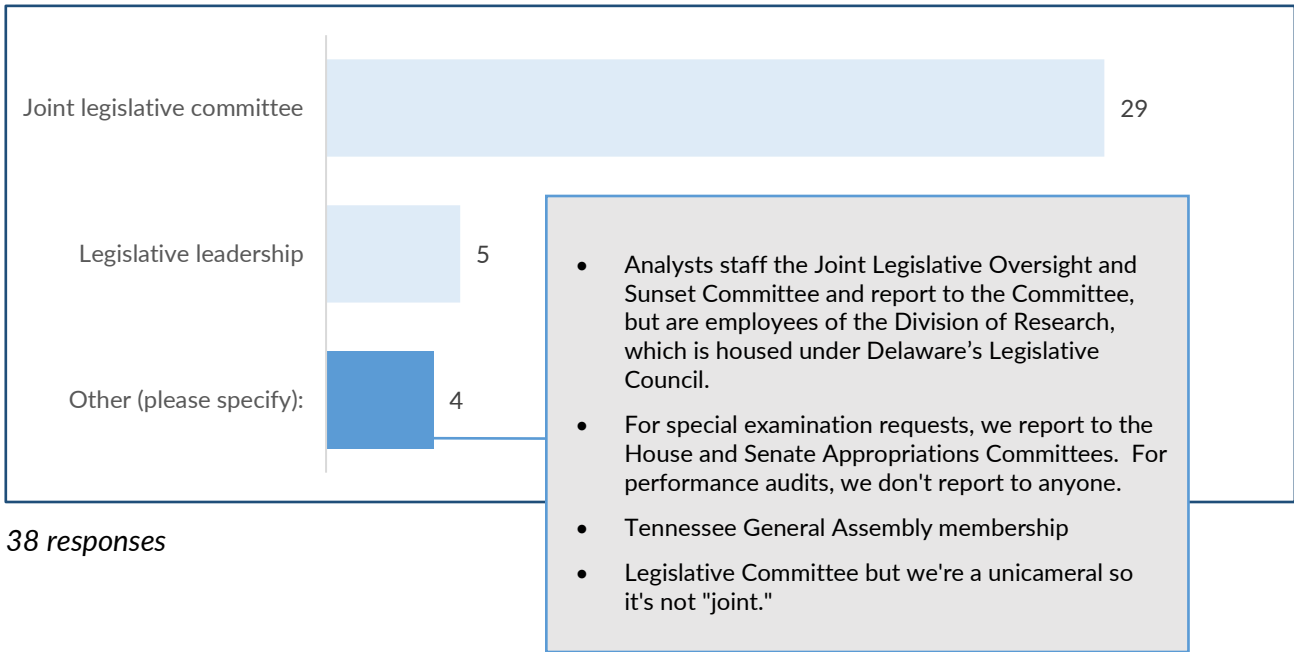


Regardless of organizational placement, does your office or unit report to a legislative entity?

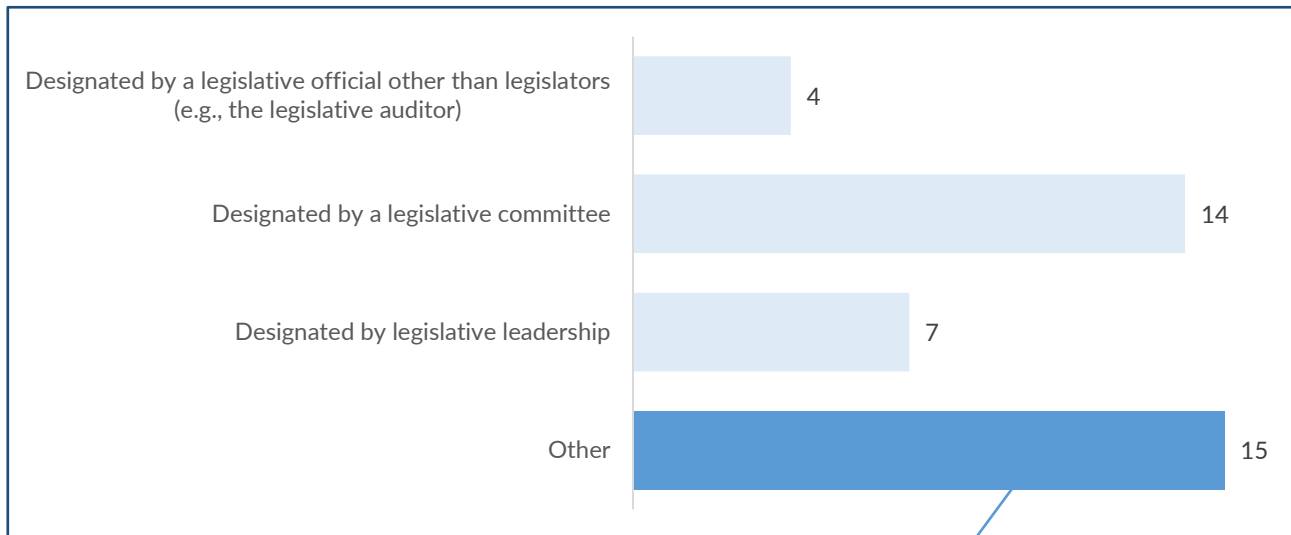


40 responses

Which of the following best describes the type of entity to which you report?



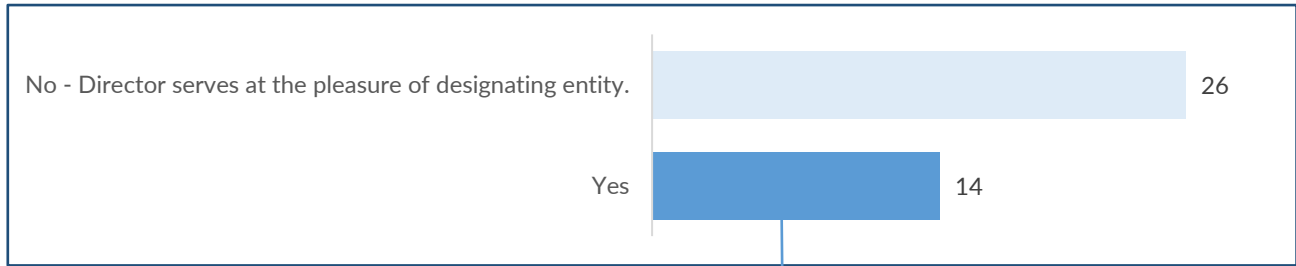
How is your office or unit director selected?



40 responses

- Appointed by vote of legislative body.
- Appointed by Legislative Services Officer and approved by legislative leadership
- Designated by Legislative Auditor upon approval by legislative leadership.
- Elected by majority vote of the five public-member council.
- Recommended by oversight committee and approved by legislative leadership.
- Selected by director of the Legislative Research Commission.
- Selected by the Joint Legislative Audit Committee and confirmed by House and Senate.
- The Deputy Legislative Auditor for program evaluation is appointed by the Legislative Auditor, with the approval of the Legislative Audit Commission. The overall head of our office is appointed by the Legislative Audit Commission.
- The Performance Audit Division Director is selected by the State Auditor, who is selected by legislative leadership.
- There are two Auditors of Public Accounts appointed by the General Assembly. The Performance Audit Unit is overseen by an Administrative Auditor, an employee of the Auditors of Public Accounts Department.

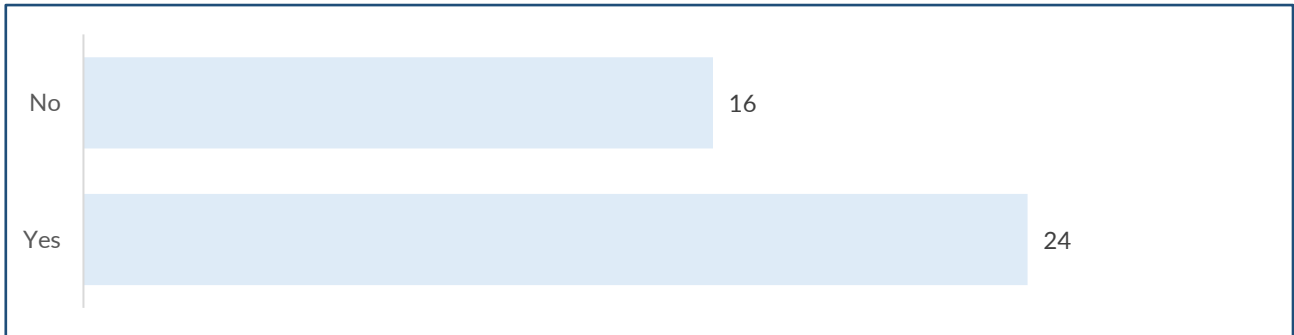
Does your office or unit director have a set term of service?



40 responses

- 2-year term of service (2 offices)
- 4-year term of service (2 offices)
- 5-year term of service (4 offices)
- 6-year term of service (4 offices)
- 8-year term of service (2 offices)

Does your state have any other offices that conduct audits or evaluations of state agencies or programs, excluding internal offices that conduct audits only for their own agency?



40 responses

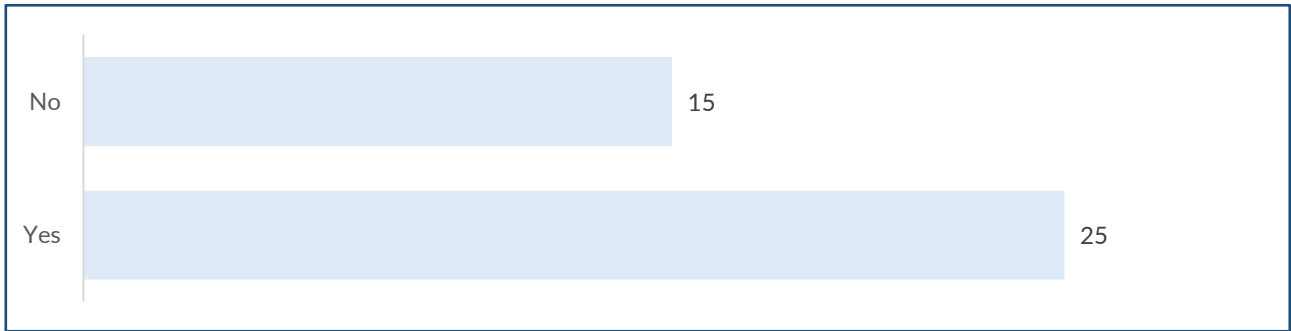
Does your office or unit follow specific standards for its work?

We have not adopted formal standards	4
Government Auditing Standards (GAO Yellow Book)	26
American Evaluation Association (AEA) Guiding Principles	1
Standards our office or unit developed	9
Other	6

40 responses

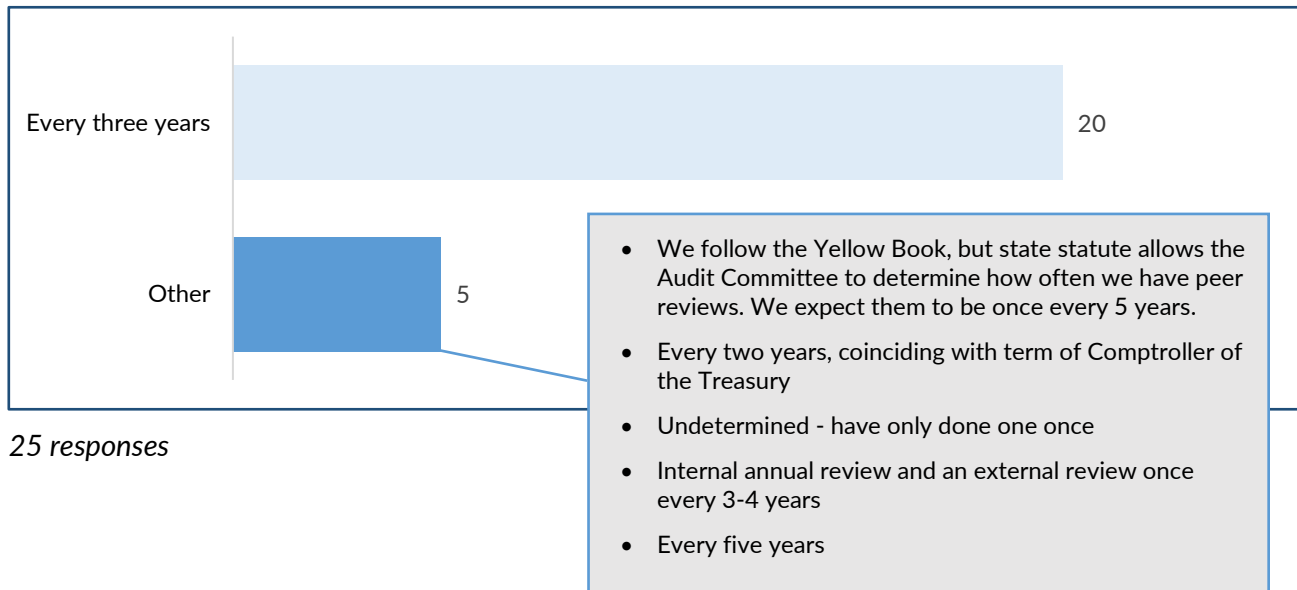
- Rely on or are informed by Yellow Book for guidance
- Combination of best practices and Yellow Book
- Joint Committee on Standards for Educational Evaluation's Program Evaluation Standards
- Combination of Yellow Book, AEA, Magenta Book and Program Evaluation Standard
- Our unit developed standards are informed by Yellow Book standards and AEA principals

Does your office or unit undergo a peer review?

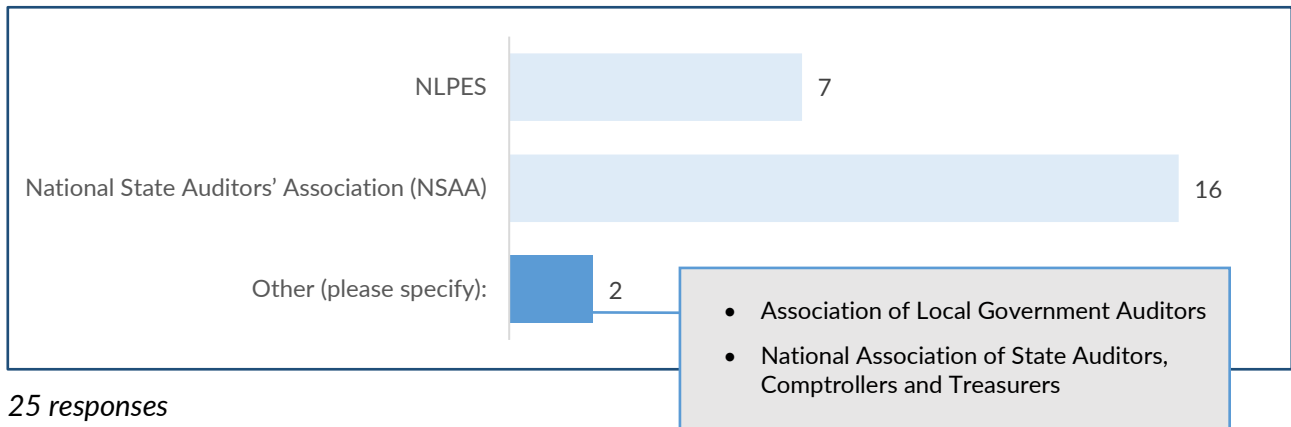


40 responses

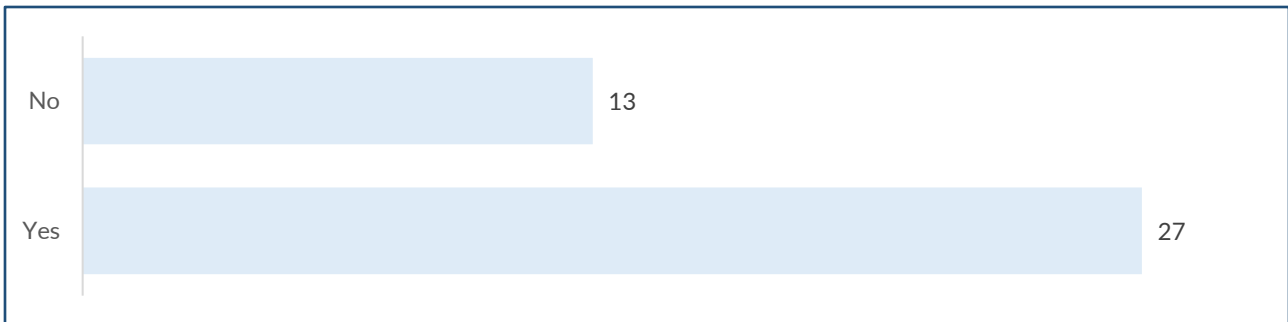
How often do you undergo a peer review?



What entity performs your peer review?



Does your office or unit use performance measures?



40 responses

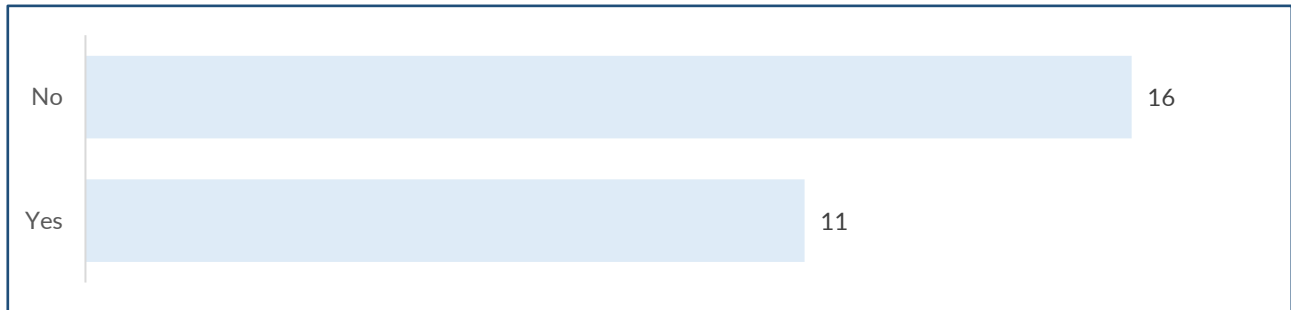
Check all performance measures that you use:

Number of reports released	17
Number (or percent) of reports released on time	9
Number (or percent) of recommendations implemented	19
Number of legislative briefings or presentations	9
Other	13

Note: 27 offices responded, respondents could select more than one answer

- 1-the number of bills and resolutions introduced or enacted in response to recommendations. 2-the number of "24-hour" limited reviews completed. 3-the number of evaluation related presentations made to the Legislature 4-the number of evaluation related presentations made outside of the Legislature.
- Amount of cost savings identified in program evaluation recommendations in the past five years (in millions)
- Customer Service - overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information
- Employ qualified staff;
- Legislative introduced
- Number (or percent) of reports meeting internal processing time frames; number of innovations to internal processes identified and implemented
- Number of testimonial hearings
- Receive a "pass" rating on external peer review; address comments from annual internal peer review within 12 months; maintain a 3:1 ratio of financial benefits to net operating costs (5-year annual average); number of presentations to external organizations, groups, and committees; maintain employee satisfaction at or above 85% on annual organizational survey; maintain staff retention at or above the average of other audit organizations of similar size
- Recommendations adopted by our commission; recommendations adopted by the Legislature; savings resulting from adopted recommendations; savings realized for every dollar spent on the operations of our Sunset agency; number of agencies abolished or consolidated
- Return on Investment. Ratio of confirmed recurring savings: Annual cost of Program Evaluation Division
- Staff turnover, employee engagement/absenteeism, direct/billable hours, project budget accuracy
- The number of legislative audits requested each year; the % of staff time spent on audit activities

Does your office or unit use amount of cost savings identified and/or achieved as a performance measure?



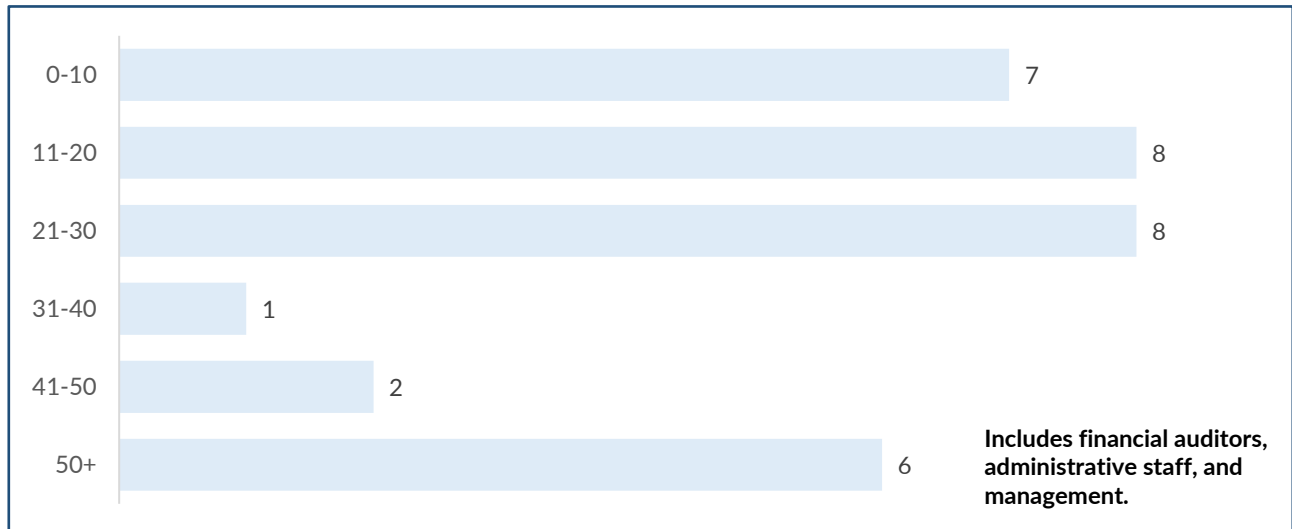
27 responses

Specify cost savings for the most recently completed calendar year:

State	Cost savings identified	Cost savings achieved
West Virginia	\$1 million to \$4 million	\$1 million to \$3 million
New Mexico	\$100 million	
California	\$581,800	
Texas	N/A	2016-2017 biennium: approximately \$1.3 million in savings and revenue gains over the next two years and approximately \$5.8 million over the next five years
Utah	We provide examples in an annual report to the Legislature. In 2019, examples showed cost savings of about \$3 million.	
Colorado	\$39.8 million total financial benefits (more than just cost savings)	
North Carolina	\$19 million	\$13.4 million
Virginia	\$35 million	\$35 million

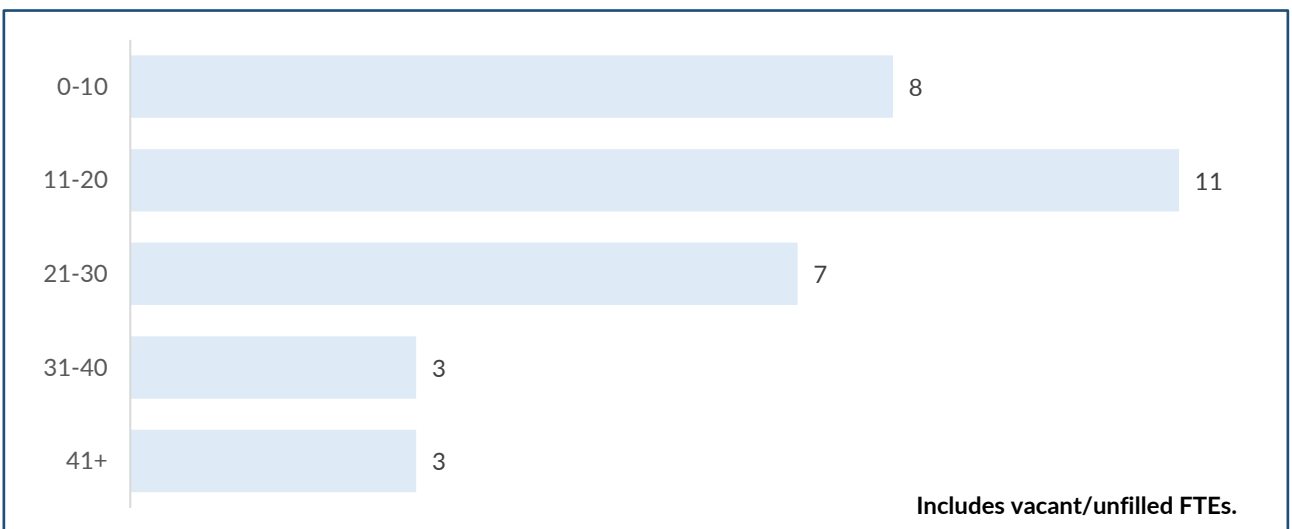
How many full-time equivalent positions does your office or unit currently have (as of May 2019)?

Number of all staff in office, including vacant FTEs.



34 responses

Number of staff who conduct performance audits/program evaluations as all or part of job



33 responses

Which of the following certifications are represented on your staff?

Certified Public Accountant (CPA)	13
Certified Government Financial Manage (CGFM)	10
Certified Internal Auditor (CIA)	12
Certified Government Auditing Professional (CGAP)	10
No staff with special certifications	14
Other	14

Note: 34 responses, respondents could select more than one answer

- Certified Fraud Examiner,
- Certified Data Analyst
- Certified Information System Auditor
- Certified Public Manager
- Certified Ethical Hacker
- Certified Internal Controls Auditor
- Certified Information Systems Security Professional
- Certified Information Technology Professional
- Project Management Professional
- Certified Information Security Manager

Which of the following advanced degrees are represented on your staff?

Master's Degree	31
PhD	18
Juris Doctor	20

Note: 34 responses, respondents could select more than one answer

How many staff have been employed in your office or unit for the following ranges of time?

	0 to 3 years	3 to 9 years	10 to 19 years	20 years plus
0-24%	8	23	23	27
25-49%	17	8	8	4
50-74%	6	1	1	0
75-100%	1	0	0	1

Number of offices reporting percentage of staff in each range, 34 responses.

This table shows that one office reported more than 75% of their staff have 0-3 years of experience, while one office reported more than 75% of their staff have 20+ years of experience.

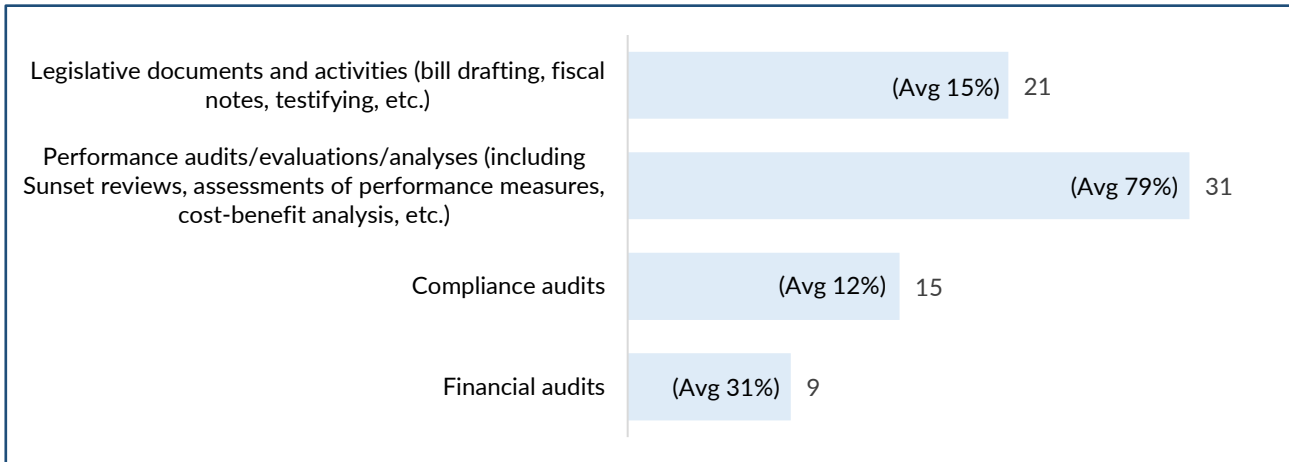
How many staff do you have in each age range?

	20-29	30-39	40-49	50-59	60-69	70 and older
0-24%	16	7	21	16	23	25
25-49%	9	13	4	6	2	0
50-74%	0	4	0	3	0	0
75-100%	0	1	0	0	0	0

Number of offices reporting percentage of staff in each range, 27 responses.

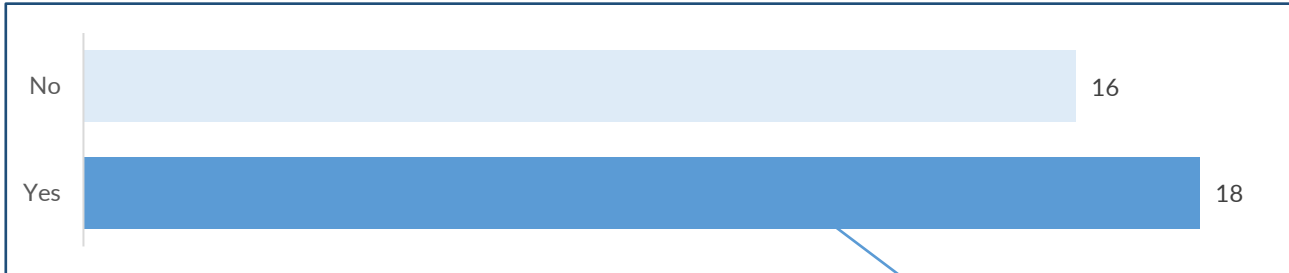
This table shows that 16 offices reported that 24% or fewer of their staff are between the ages of 20-29, while 13 offices reported that between 25-50% their staff are between 30-39.

Does your office or unit routinely undertake any of the following types of projects and activities, and what estimated percentage of work falls in each category?



Note: Average percentage of office's time dedicated to each category included in parentheses, 34 responses.

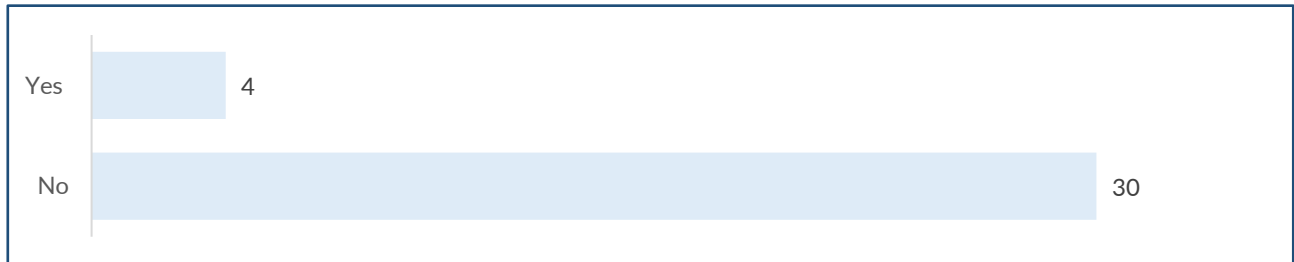
Have the type of projects and activities your office or unit undertakes changed in the past five years? For example, has it taken on new assignments?



34 responses

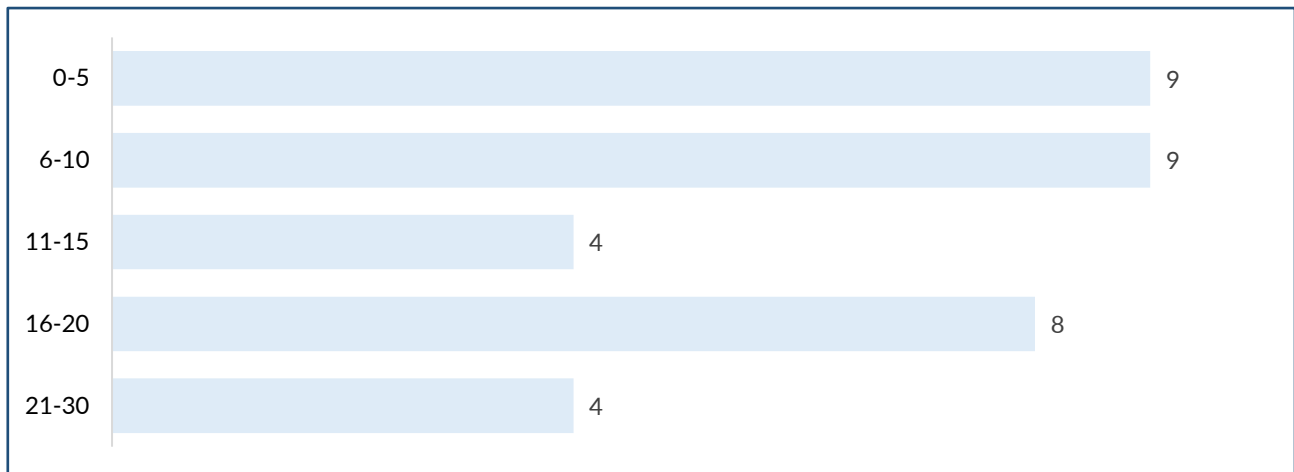
- At our request, the legislature recently transferred our responsibilities for contract management of the state's financial audits to a variety of executive branch agencies.
- Policy analyses/research
- Tax incentive reviews
- Economic development
- Transitioned from transactional reviews to strictly performance and compliance audits.
- Performance budgeting support, including implementation of the Pew-MacArthur Results First Initiative

Within the past five years, has there been a viable threat to eliminate, substantially downsize, or merge your office or unit with another?



34 responses

How many published performance audit/program evaluation reports did your office or unit issue in 2018?



34 responses

What estimated percentage of performance audits/program evaluations are completed in the following timeframes after they have been started?

	Less than 3 months	3 to 5 months	6 to 8 months	9 to 11 months	12 months or more
0-24%	22	20	16	13	14
25-49%	2	3	7	8	5
50-74%	0	0	5	4	3
75-100%	3	5	6	8	4

Number of offices reporting percentage of reports completed in each range. 34 responses.

This table shows that 22 offices answered that 24% or fewer of their reports are completed in less than three months, while eight offices answered that between 75-100% of their reports are completed in nine to 11 months.

How often do your reports...

	Rarely/Never	Sometimes	Often	Usually/Always
Provide recommendations for legislative action	2	10	7	15
Provide recommendations to the agency/program that was the subject of the report	3	1	1	29
Estimate the specific fiscal impact of recommended actions	11	15	5	3
Identify specific statutory sections that would be affected by recommendations for legislative action	5	9	9	11
Provide draft bill language to implement recommendations	26	4	2	2
Compare the effectiveness of current programs to alternatives	6	11	12	5
Compare program outcomes to other states or a national average	3	8	14	9

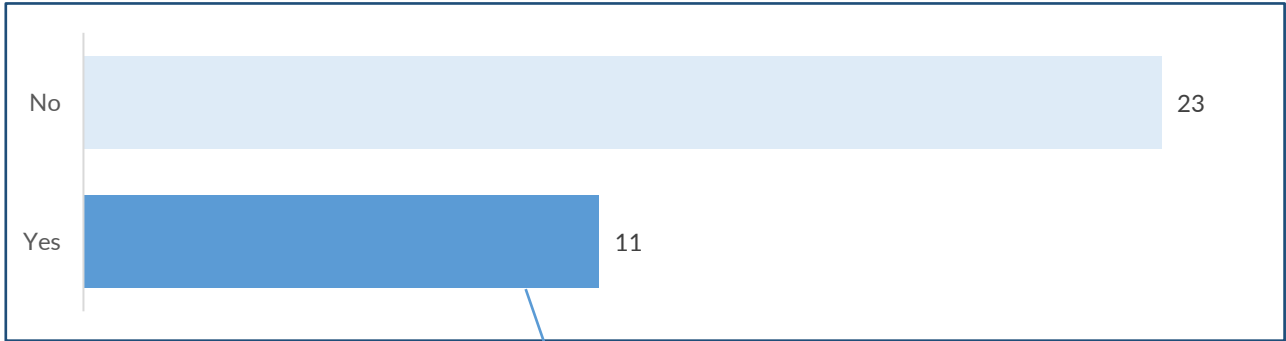
34 responses

Does your office or unit publish follow-up studies on previously issued reports to inform the legislature about the current status of recommendations?

Fourteen offices do on their own or to meet Yellow Book requirements	Of those, 10 do on 50% or more
Fourteen offices do so, as directed by the Legislature/ Committee.	Of those, 6 do on 50% or more

34 responses

Does your office or unit annually publish compilations of prior recommendations that have not yet been implemented?



34 responses

- Recommendations made to agencies
- Recommendations made to the legislature
- Recommendations with fiscal impact

Approaches through which projects are initiated for offices

	Statutory directive	Directive by legislative governing committee	Requests from legislative committees other than governing Committee or individual legislators	Self-initiated	Requests by executive branch (governor and/or agencies)	Other
0-24%	19	16	18	16	20	14
25-49%	6	1	2	3	1	0
50-74%	3	4	3	3	0	3
75-100%	5	9	1	3	0	0

Note: Respondents could select more than one answer, 34 responses

This table shows that 19 offices reported 24% or fewer of their reports are directed by statute, while nine offices reported that between 75-100% of their reports directed by their legislative governing committee.

- Public concern or tip
- Citizens, interest/advocacy groups, industry/membership groups
- Apart from statutorily required performance audits, the two State Auditors approve our performance audit topics.
- Presiding Officers - President of the Senate, Speaker of the House
- House or Senate Resolution

During the course of a typical project, how often does your office or unit interact with the following?

	Usually/ Always	Often	Sometimes	Rarely/Never
Legislators	10	2	12	8
Leadership staff	2	4	12	13
Nonpartisan legislative staff	7	6	15	1
Partisan legislative staff	3	2	9	14
Governor's staff	1	5	9	17

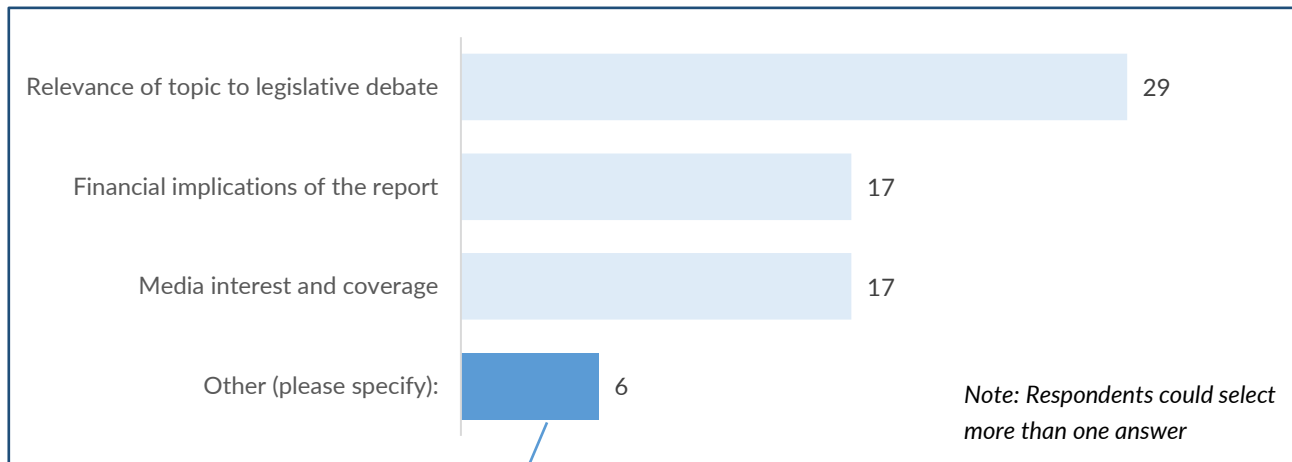
34 responses

How often does your office or unit use the following activities to make legislators and staff aware of your project progress and reports?

	Usually/Always	Often	Sometimes	Rarely/Never
Provide interim briefings to legislators or staff during project	2	6	12	14
Regularly release lists of projects in progress	13	2	4	15
Send emails announcing pending reports before they are released	8	4	4	17
Send emails announcing reports when they are released	25	2	1	6
Send reports and/or executive summaries to all legislators	21	2	4	7
Send reports and/or executive summaries to relevant committee members	30	1	1	2
Brief relevant legislators	13	9	5	6
Brief relevant legislative staff	9	9	10	5
Provide presentations to relevant committees	11	12	8	3
Provide videos that summarize reports (e.g. YouTube, videos hosted on office website)	0	0	2	32
Provide audio products, including podcasts or narrated PowerPoint presentations that summarize reports	4	0	3	27

34 responses

What factors seem to affect which reports your office or unit issues that are used the most by your legislature?



34 responses

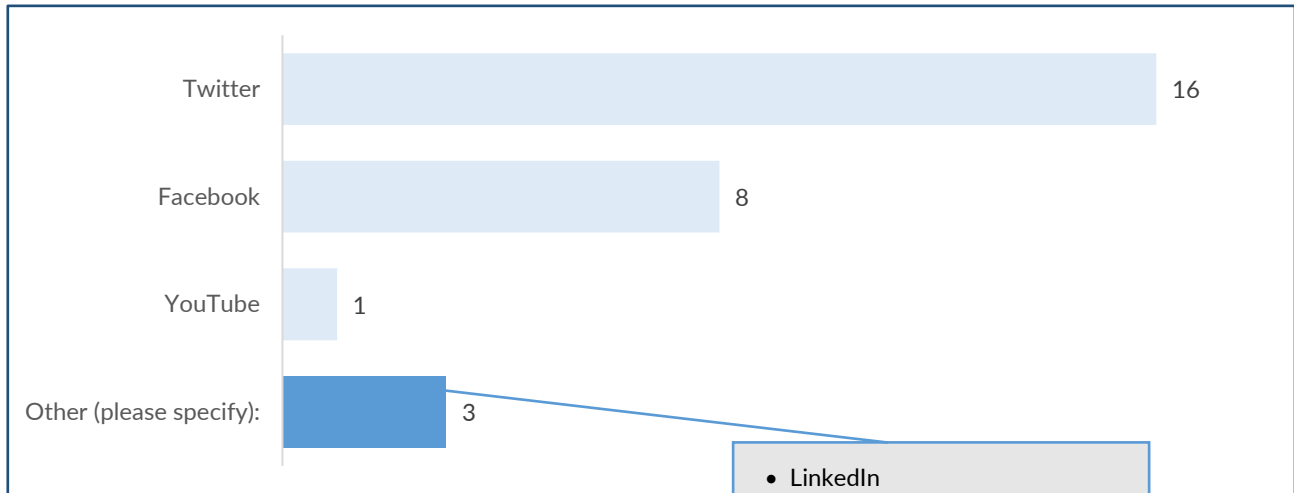
- Depends on the will and interest of our oversight committee
- Joint Legislative Program Evaluation Oversight Committee recommendation of implementing legislation
- No recent experience
- Reports recommend whether to continue every agency under review - Sunset legislation must pass to continue the agency, which is the primary factor that makes the Legislature use our reports-every report results in a Sunset bill on the agency under review.
- Reports are issued regardless of factors and their impact
- Significance of the findings--which may generate media coverage, but not necessarily

How often does your office or unit use these activities to make the media and other non-legislative groups aware of your reports?

	Usually/Always	Often	Sometimes	Rarely/Never
Provide hard copies of reports and/or executive summaries	11	2	8	13
Email copies of reports and/or executive summaries	18	4	7	5
Email announcements of report publication	21	2	5	6
Phone calls	0	2	8	24
Press releases	8	1	3	22
Press conferences	0	0	2	32
Social media	10	4	2	18

34 responses

If your office or unit uses social media to make legislators or the media aware of your products, what type do you use?



Note: Respondents could select more than one answer, 17 responses

CONTACTS FOR OFFICES THAT RESPONDED

Arizona

Office or unit name	Office of the Auditor General
Contact	Dot Reinhard
Title	Operations Manager, Performance Audit Division
Address	2910 N. 44th St., Suite 410, Phoenix, AZ 85018
Telephone number	602-553-9760
Email	dreinhard@azauditor.gov
Office or unit website	azauditor.gov

California

Office or unit name	California State Auditor
Contact	Margarita Fernandez
Title	Chief of Public Affairs and Quality Control
Address	621 Capitol Mall, Suite 1200, Sacramento, CA 95814
Telephone number	916-445-0255
Email	margaritaf@auditor.ca.gov
Office or unit website	auditor.ca.gov

Colorado

Office or unit name	Office of the State Auditor
Contact	Greg Fugate
Title	Audit Manager, Professional Practice & Quality Assurance Section
Address	1525 Sherman St., 7th Floor, Denver, CO 80203
Telephone number	303-869-2839
Email	greg.fugate@state.co.us
Office or unit website	www.colorado.gov/auditor

Connecticut

Office or unit name	Auditors of Public Accounts Performance Audit Unit
Contact	Maura Pardo
Title	Administrative Auditor
Address	20 Trinity Street, 3rd Floor, Hartford, CT 06106
Telephone number	860-240-5369
Email	maura.pardo@cga.ct.gov
Office or unit website	www.cga.ct.gov/apa

Delaware

Office or unit name	Joint Legislative Oversight and Sunset Committee, housed in Legislative Council's Division of Research
Contact	Amanda McAtee and Mark Brainard
Title	Both are JLOSC Analysts
Address	411 Legislative Ave, Dover, DE, 19901
Telephone number	302-744-4021 (AM) or 302-744-4225 (MB)
Email	amanda.mcatee@delaware.gov or mark.brainard@delaware.gov
Office or unit website	http://legis.delaware.gov/Committee/Sunset

District of Columbia

Office or unit name	Office of the D.C. Auditor
Contact	Kathy Patterson
Title	D.C. Auditor
Address	717 14th Street, NW, Suite 900, Washington, DC 20005
Telephone number	202-727-3600
Email	kathy.patterson@dc.gov
Office or unit website	http://dcauditor.org/

Florida

Office or unit name	Office of Program Policy Analysis and Government Accountability
Contact	R. Philip Twogood
Title	Coordinator
Address	111 West Madison Street Tallahassee, FL 32312
Telephone number	850-488-0021
Email	twogood.philip@oppaga.fl.gov
Office or unit website	http://www.oppaga.state.fl.us/

Georgia

Office or unit name	Department of Audits and Accounts, Performance Audit Division
Contact	Leslie McGuire
Title	Director, Performance Audit Division
Address	270 Washington Street, SW, Room 1-156, Atlanta, GA 30334
Telephone number	404-651-8927
Email	m McGuire@audits.ga.gov
Office or unit website	www.audits.ga.gov

Hawaii

Office or unit name	Office of the Auditor
Contact	Megan Johnson
Title	General Counsel
Address	465 S. King Street, Rm. 500, Honolulu, HI 96813
Telephone number	808-587-0800
Email	megan.johnson@hawaii.gov
Office or unit website	http://auditor.hawaii.gov/

Idaho

Office or unit name	Office of Performance Evaluations
Contact	Rakesh Mohan
Title	Director
Address	954 W Jefferson St Suite 202 Boise, ID 83702
Telephone number	208-332-1480
Email	rmohan@ope.idaho.gov
Office or unit website	https://legislature.idaho.gov/ope/

Illinois

Office or unit name	Office of the Auditor General
Contact	Joe Butcher
Title	Assistant Director, Performance Audit Division
Address	740 E. Ash St., Iles Park Plaza, Springfield, IL 62703
Telephone number	217-785-1502
Email	jbutcher@auditor.illinois.gov
Office or unit website	https://www.auditor.illinois.gov/

Indiana

Office or unit name	Office of Fiscal and Management Analysis
Contact	Jessica Harmon
Title	Director, Office of Fiscal and Management Analysis
Address	200 W. Washington St. Suite 301, Indianapolis, IN 46204
Telephone number	317-232-9854
Email	Jessica.harmon@iga.in.gov
Office or unit website	iga.in.gov

Iowa

Office or unit name	Legislative Services Agency - Fiscal Services Division
Contact	Holly M. Lyons
Title	Fiscal Services Division Director
Address	State Capitol, Ground Floor, Des Moines, IA 50319
Telephone number	515-979-1687
Email address	holly.lyons@legis.iowa.gov
Office or unit website	https://www.legis.iowa.gov/

Kansas

Office or unit name	Kansas Legislative Division of Post Audit
Contact	Kristen Rottinghaus
Title	Performance Audit Manger
Address	800 SW Jackson Street, Suite 1200, Topeka, KS 66612
Telephone number	785-296-5835
Email	Kristen.Rottinghaus@lpa.ks.gov
Office or unit website	www.kslpa.org

Kentucky

Office or unit name	Program Review and Investigations Committee
Contact	Greg Hager
Title	Committee Staff Administrator
Address	Capitol Annex 469, 702 Capital Ave., Frankfort KY 40601
Telephone number	502-564-8100
Email	greg.hager@lrc.ky.gov
Office or unit website	https://legislature.ky.gov/Committees/Pages/Committee-Details.aspx?CommitteeRSN=14&CommitteeType=Statutory%20Committee

Louisiana

Office or unit name	Performance Audit Services
Contact	Karen Leblanc
Title	Director
Address	1600 North Third Street, Baton Rouge, LA 70804
Telephone number	225-339-3950
Email	kleblanc@lla.la.gov
Office or unit website	lla.la.gov

Maine

Office or unit name	Office of Program Evaluation and Government Accountability
Contact	Scott Farwell
Title	Senior Analyst
Address	82 State House Station, Augusta, ME 04333
Telephone number	207-287-1901
Email	scott.farwell@legislature.maine.gov
Office or unit website	http://legislature.maine.gov/opega/

Maryland

Office or unit name	Office of Legislative Audits
Contact	Gregory Hook
Title	Legislative Auditor
Address	Room 1202, 301 W. Preston St, Baltimore MD, 21201
Telephone number	410-946-5900
Email	ghook@ola.state.md.us
Office or unit website	www.ola.state.md.us

Michigan

Office or unit name	Office of the Auditor General
Contact	Mary Jo Koschay
Title	Audit Division Administrator
Address	201 N. Washington Square, 6th floor, Lansing, MI, 48913
Telephone number	517-334-8050
Email	mhamilton@audgen.michigan.gov
Office or unit website	audgen.michigan.gov

Minnesota

Office or unit name	Office of the Legislative Auditor, Program Evaluation Division
Contact	Joel Alter
Title	Director of Special Reviews
Address	1st Floor Centennial Building, 658 Cedar St., St. Paul, MN 55155
Telephone number	651-296-8313
Email	joel.alter@state.mn.us
Office or unit website	https://www.auditor.leg.state.mn.us/

Mississippi

Office or unit name	Joint Legislative PEER Committee
Contact	James Barber
Title	Executive Director
Address	501 North West Street, Suite 301-A, Jackson, MS 39215
Telephone number	601-359-1226
Email	james.barber@peer.ms.gov
Office or unit website	www.peer.ms.gov

Montana

Office or unit name	Legislative Audit Division
Contact	Angus Maciver
Title	Legislative Auditor
Address	Room 160, State Capitol, Helena, MT 59620
Telephone number	406-444-3122
Email	amaciver@mt.gov
Office or unit website	https://leg.mt.gov/lad/

Nebraska

Office or unit name	Legislative Audit Office
Contact	Martha Carter
Title	Legislative Auditor
Address	P.O. Box 94604
Telephone number	402-471-0072
Email	mcarter@leg.ne.gov
Office or unit website	https://www.nebraskalegislature.gov/divisions/auditor.php

Nevada

Office or unit name	Nevada Legislative Counsel Bureau, Audit Division
Contact	Daniel Crossman
Title	Chief Deputy Legislative Auditor
Address	401 S. Carson Street, Carson City, NV 89701
Telephone number	775-684-6815
Email	DCrossman@lcb.state.nv.us
Office or unit website	https://www.leg.state.nv.us/Division/Audit/

New Hampshire

Office or unit name	Office of Legislative Budget Assistant, Audit Division
Contact	Jay Henry
Title	Performance Audit Supervisor
Address	107 North Main St, State House Room 102, Concord NH 03301
Telephone number	603-271-2785
Email	Jay.Henry@leg.state.nh.us
Office or unit website	http://gencourt.state.nh.us/LBA/default.aspx

New Jersey

Office or unit name	Office of the State Auditor
Contact	Stephen Eells
Title	State Auditor
Address	PO Box 067, Trenton NJ 08625
Telephone number	609-847-3470
Email	seells@njleg.org
Office or unit website	www.njleg.state.nj.us/legislativepub/auditreports.asp

New Mexico

Office or unit name	Program Evaluation Unit
Contact	Jon Courtney
Title	Deputy Director
Address	325 Don Gaspar, Suite 101, Santa Fe, NM 87501
Telephone number	505-986-4539
Email	jon.courtney@nmlegis.gov
Office or unit website	https://www.nmlegis.gov/Entity/LFC/Default

North Carolina

Office or unit name	Program Evaluation Division of Legislative Services Office
Contact	Kiernan McGorty
Title	Principal Program Evaluator
Address	301 North Salisbury Street, 100 Leg. Office Bldg., Raleigh, NC 27603
Telephone number	919-301-1393
Email	kiernan.mcgart@ncleg.net
Office or unit website	https://www.ncleg.net/PED/

Ohio

Office or unit name	Joint Education Oversight Committee
Contact	Lauren Monowar-Jones
Title	Executive Director
Address	77 S. High Street, Concourse Level, Columbus, OH 43215
Telephone number	614-466-5983
Email	lauren.jones@jeoc.ohio.gov
Office or unit website	jeoc.ohio.gov

Oregon

Office or unit name	Legislative Fiscal Office
Contact	Theresa McHugh
Title	Principal Analyst
Address	900 Court St. NE, Room H-178, Salem, OR 97301
Telephone number	503-986-1815
Email	theresa.mchugh@oregonlegislature.gov
Office or unit website	oregonlegislature.gov/lfo

Pennsylvania

Office or unit name	Legislative Budget and Finance Committee
Contact	Patricia A. Berger
Title	Executive Director
Address	Room 400A Finance Building, 613 North Street, Harrisburg, PA 17128
Telephone number	717-783-1600
Email	pberger@palbfc.us
Office or unit website	http://lbfc.legis.state.pa.us

South Carolina

Office or unit name	South Carolina Legislative Audit Council
Contact	Earle Powell
Title	Director
Address	1331 Elmwood Avenue, Suite 315, Columbia, SC 29201
Telephone number	803-253-7612
Email	epowell@lac.sc.gov
Office or unit website	http://lac.sc.gov

Tennessee

Office or unit name	Tennessee Comptroller, Division of State Audit
Contact	Deborah V. Loveless
Title	Director
Address	425 Fifth Avenue North, Nashville, TN 37243
Telephone number	615-747-5258
Email	deborah.loveless@cot.tn.gov
Office or unit website	https://www.comptroller.tn.gov/

Texas

Office or unit name	Texas Sunset Advisory Commission
Contact	Emily Johnson
Title	Senior Policy Analyst
Address	P.O. Box 13066, Austin, TX 78711
Telephone number	512-463-1300
Email	emily.johnson@sunset.texas.gov
Office or unit website	www.sunset.texas.gov

Utah

Office or unit name	Office of the Legislative Auditor General
Contact	Wayne Kidd
Title	Audit Supervisor
Address	W315 State Capitol Complex, Salt Lake City, UT 84114
Telephone number	801-326-1758
Email	wkidd@le.utah.gov
Office or unit website	https://le.utah.gov/audit/olag.htm

Virginia

Office or unit name	Joint Legislative Audit and Review Commission
Contact	Hal Greer
Title	Director
Address	919 East Main St, Suite 2101, Richmond, VA 23219
Telephone number	804-371-4572
Email	hgreer@jlarc.virginia.gov
Office or unit website	jlarc.virginia.gov

Washington

Office or unit name	Joint Legislative Audit and Review Committee
Contact	Keenan Konopaski
Title	Legislative Auditor
Address	106 11th Ave SW Suite 2500, Olympia, WA 98504
Telephone number	360-786-5187
Email	keenan.konopaski@leg.wa.gov
Office or unit website	http://leg.wa.gov/jlarc/

West Virginia

Office or unit name	Performance Evaluation and Research Division (PERD)
Contact	Aaron Allred
Title	Legislative Auditor
Address	1900 Kanawha Blvd., Building 1, Room E-132, Charleston, WV 25305
Telephone number	304-347-4800
Email	aaron.allred@wvlegislature.gov
Office or unit website	http://www.wvlegislature.gov/committees/interims/committee.cfm?abb=govfin

West Virginia

Office or unit name	Joint Committee on Government & Finance
Contact	Aaron Allred
Title	Legislative Manager & Legislative Auditor
Address	E-132 State Capitol, Charleston, WV 25305
Telephone number	304-347-4800
Email	aaron.allred@wvlegislature.gov
Office or unit website	http://www.wvlegislature.gov/index.cfm

West Virginia

Office or unit name	Post Audit Division
Contact	Aaron Allred
Title	Legislative Auditor
Address	1900 Kanawha Blvd E, Bldg 1 Room E-131, Charleston, WV 25305
Telephone number	304-347-4800
Email	aaron.allred@wvlegislature.gov
Office or unit website	www.wvlegislature.gov

Wisconsin

Office or unit name	Legislative Audit Bureau
Contact	Joe Chrisman
Title	State Auditor
Address	22 East Mifflin Street, Suite 500, Madison, WI 53703
Telephone number	608-266-2818
Email	joe.chrisman@legis.wisconsin.gov
Office or unit website	www.legis.wi.gov/lab

Wyoming

Office or unit name	Program Evaluation Section of the Legislative Service Office
Contact	Joy Hill
Title	Program Evaluation Manager
Address	200 W. 24th Street, Cheyenne, WY 82001
Telephone number	307-777-7881
Email	joy.hill@wyoleg.gov
Office or unit website	https://www.wyoleg.gov/progeval/evalhome.htm

Appendix A: Survey

National Legislative Program Evaluation Society
2019 Survey “Who We Are, And What We Do: A National Survey of State Legislative Performance Evaluation Programs”

Introductory Questions

NLPES is updating its survey of member offices, last published in 2015. The survey covers a variety of topics relating to how NLPES offices serve our state legislatures, including organizational characteristics, staffing, types of projects and activities, and project input and awareness. Our report will publish both summary data, and provide individual office or unit’s responses.

The survey should be completed by the office director or another person who is knowledgeable about the office and its activities. Most of the questions can be completed quickly; however, some questions about staffing may require some prior preparation to answer. The survey will take between 15 and 20 minutes to complete.

We very much appreciate your assistance.

* 1. Information

State

Office or unit name

Person completing this survey

Title

Telephone number

Email address

* 2. Name of state NLPES contact

Name

Title

Address

Telephone number

Email

Office or unit website

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Organizational Characteristics

* 3. What year was your legislative performance audit/program evaluation office or unit created?

* 4. Is your office or unit's authority codified in statute?

Yes

No

Other (please describe):

* 5. Which best describes the organizational placement of your office or unit?

Legislative Auditor's office - part of a legislative auditor office that conducts both financial audits and performance audits/program evaluations.

Independent legislative office or unit - an independent legislative office or unit that conducts program audits/evaluations as its primary function.

Legislative oversight committee - committee that spends most of its time conducting performance audits/program evaluations but also performs other legislative staff work.

Legislative committee - committee that conducts performance audits/program evaluations but also spends over half of its time performing non-evaluation functions such as fiscal/budget analysis, bill drafting, or analyzing substantive legislation.

Other (please describe):

* 6. Regardless of organizational placement, does your office or unit report to a legislative entity?

Yes

No

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Organizational Characteristics

* 7. Which of the following best describes the type of entity to which you report?

- Joint legislative committee
- Legislative leadership
- Other (please specify):

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Organizational Characteristics

* 8. How is your office or unit director selected?

- Designated by a legislative official other than legislators (e.g., the legislative auditor)
- Designated by a legislative committee
- Designated by legislative leadership
- Other (please specify):

* 9. Does your office or unit director have a set term of service?

- Yes
- No - Director serves at the pleasure of designating entity.

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Organizational Characteristics

* 10. Please specify length of term:

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Organizational Characteristics

* 11. Does your state have any other offices that conduct audits or evaluations of state agencies or programs, excluding internal offices that conduct audits only for their own agency?

Yes

No

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Organizational Characteristics

* 12. Please list the name of the agency and select the types of audits and/or evaluations conducted by each.

Name of Office and
Branch of Government

Type of audit and/or
evaluation: financial,
program/performance, or
compliance

Name of Office and
Branch of Government

Type of audit and/or
evaluation: financial,
program/performance, or
compliance

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Organizational Characteristics

* 13. Does your office or unit follow specific standards for its work?(Check all that apply.)

- We have not adopted formal standards
- Government Auditing Standards (GAO Yellow Book)
- American Evaluation Association (AEA) Guiding Principles
- Standards our office or unit developed
- Other (please specify):

* 14. Does your office or unit undergo a peer review?

- Yes
- No

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Organizational Characteristics

* 15. How often do you undergo a peer review?

- Every three years
- Other (please specify):

* 16. What entity performs your peer review?

- NLPES
- National State Auditors' Association (NSAA)
- Other (please specify):

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Organizational Characteristics

* 17. Does your office or unit use performance measures?

Yes

No

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Organizational Characteristics

* 18. Check all performance measures that you use:

Number of reports released

Number (or percent) of reports released on time

Number (or percent) of recommendations implemented

Number of legislative briefings or presentations

Other (please specify):

* 19. Does your office or unit use amount of cost savings identified and/or achieved as a performance measure?

Yes

No

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Organizational Characteristics

* 20. Specify cost savings for the most recently completed calendar year:

Cost savings identified:

Cost savings achieved:

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Staff

* 21. How many full-time equivalent positions does your office or unit currently have?

	Filled	Vacant
Conducting performance audits/program evaluations only	<input type="text"/>	<input type="text"/>
Conducting financial/compliance audits only	<input type="text"/>	<input type="text"/>
Conducting financial/compliance and performance audits/program evaluations	<input type="text"/>	<input type="text"/>
Other (e.g. IT Audits)	<input type="text"/>	<input type="text"/>
Administrative staff	<input type="text"/>	<input type="text"/>

* 22. What is the highest level of education or degree earned by each of your staff?

	Number of staff
Bachelor's degree only	<input type="text"/>
Master's degrees	<input type="text"/>
Doctoral degrees	<input type="text"/>
Law degrees	<input type="text"/>
Other (e.g. Associate's degree, High school diploma)	<input type="text"/>

* 23. Which of the following certifications are represented on your staff?(Check all that apply.)

- Certified Public Accountant (CPA)
- Certified Government Financial Manager (CGFM)
- Certified Internal Auditor (CIA)
- Certified Government Auditing Professional (CGAP)
- N/A
- Other (please specify):

* 24. How many staff have been employed in your office or unit for the following ranges of time?

Less than 3 years

3 to 9 years

10 to 19 years

20 years or more

* 25. How many staff do you have in each age range?

20-29

30-39

40-49

50-59

60-69

70 and older

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Project Types and Activities

* 26. Does your office or unit routinely undertake any of the following types of projects and activities, and what estimated percentage of work falls in each category?

	Yes/No	Percentage
Financial audits	<input type="text"/>	<input type="text"/>
Compliance audits	<input type="text"/>	<input type="text"/>
Performance audits/evaluations/analyses (including Sunset reviews, assessments of performance measures, cost-benefit analysis, etc.)	<input type="text"/>	<input type="text"/>
Legislative documents and activities (bill drafting, fiscal notes, testifying, etc.)	<input type="text"/>	<input type="text"/>

* 27. Have the type of projects and activities your office or unit undertakes changed in the past five years? For example, has it taken on new assignments?

- No
- Yes - If yes, please explain how your work has changed:

* 28. Within the past five years, has there been a viable threat to eliminate, substantially downsize, or merge your office or unit with another?

- No
- Yes - If yes, please explain:

* 29. How many published performance audit/program evaluation reports did your office or unit issue last year?

* 30. What estimated percentage of performance audits/program evaluations are completed in the following timeframes after they have been started?

	Percentage
Less than 3 months	<input type="text"/>
3 to 5 months	<input type="text"/>
6 to 8 months	<input type="text"/>
9 to 11 months	<input type="text"/>
12 months or more	<input type="text"/>
Not applicable	<input type="text"/>

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Project Types and Activities

* 31. How often do your reports:

	Usually/Always	Often	Sometimes	Rarely/Never
Provide recommendations for legislative action	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Provide recommendations to the agency/program that was the subject of the report	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Estimate the specific fiscal impact of recommended actions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Identify specific statutory sections that would be affected by recommendations for legislative action	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Provide draft bill language to implement recommendations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Compare the effectiveness of current programs to alternatives	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Compare program outcomes to other states or a national average	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

* 32. Does your office or unit publish follow-up studies on previously issued reports to inform the legislature about the current status of recommendations?

- Yes - includes on your own or to meet Yellow Book requirements
- Yes - as directed by the legislature/our committee/etc.
- No

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Project Types and Activities

* 33. On what percentage of reports was this done in the past 12 months?

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Project Types and Activities

* 34. On what percentage of reports is this done?

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Project Types and Activities

* 35. Does your office or unit annually publish compilations of prior recommendations that have not yet been implemented?

Yes

No

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Project Types and Activities

* 36. What type (*Check all that apply.*)

Recommendations made to agencies

Recommendations made to the legislature

Recommendations with fiscal impact

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Communication

* 37. Please estimate the proportion of projects initiated by each of the following methods.

	Percentage
Statutory directive	<input type="text"/>
Directive by legislative governing committee	<input type="text"/>
Requests from legislative committees other than governing Committee or individual legislators	<input type="text"/>
Self-initiated	<input type="text"/>
Requests by executive branch (governor and/or agencies)	<input type="text"/>
Other	<input type="text"/>

If you answered "Other", please specify:

* 38. During the course of a typical project, how often does your office or unit interact with the following?

	Usually/Always	Often	Sometimes	Rarely/Never	N/A
Legislators	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Leadership staff	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Nonpartisan legislative staff	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Partisan legislative staff	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Governor's staff	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

* 39. How often does your office or unit use the following activities to make legislators and staff aware of your project progress and reports?

	Usually/Always	Often	Sometimes	Rarely/Never
Provide interim briefings to legislators or staff during project	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Regularly release lists of projects in progress	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Send emails announcing pending reports before they are released	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Send emails announcing reports when they are released	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Send reports and/or executive summaries to all legislators	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Send reports and/or executive summaries to relevant committee members	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Brief relevant legislators	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Brief relevant legislative staff	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Provide presentations to relevant committees	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Provide videos that summarize reports (e.g. YouTube, videos hosted on office website)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Provide audio products, including podcasts or narrated PowerPoint presentations that summarize reports	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

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Communication

* 40. What factors seem to affect which reports your office or unit issues that are used the most by your legislature? (Check all that apply.)

- Relevance of topic to legislative debate
- Financial implications of the report
- Media interest and coverage
- Other (please specify):

* 41. How often does your office or unit use these activities to make the media and other non-legislative groups aware of your reports?

	Usually/Always	Often	Sometimes	Rarely/Never
Provide hard copies of reports and/or executive summaries	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Email copies of reports and/or executive summaries	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Email announcements of report publication	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Phone calls	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Press releases	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Press conferences	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Social media	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

42. If your office or unit uses social media to make legislators or the media aware of your products, what type do you use? (Check all that apply.)

- Twitter
- Facebook
- YouTube
- Other (please specify):

Thank you for taking the time to complete this survey.